Shallowly accurate but deeply confused – how language models deal with antonyms

Research question

- Antonyms are words that are semantically opposite of each other. We focus here on **antonymic adjectives**.
- Statistical models of language have previously been argued to give a poor treatment of antonyms, because:
 - they are based on the Distributional Hypothesis [1];
 - antonyms appear in similar environments [2, 4].
- Recent Large Language Models (LLMs) yet perform well in tasks which most probably require them to encode adjective polarity... so, can they draw fine-grained inferences about antonyms?

Background: adjective polarity & negation

Task 1: Sentence surprisal (S_{sent})

Hypothesis 1: $\Delta S_{sent} \triangleq S_{sent}(1b) - S_{sent}(1a) > 0$

- ▶ 111 pairs of antonyms (48 T, 63 O) tested using a one-sided paired-sample Wilcoxon test.
- ► 3/3 LLMs captured the contrast but with small effect sizes.
- Group-by-group testing (T vs. O) reveals that only for GPT-2 and BERT, *both* groups verify H1 (after corrections). Hypothesis 2: $(\Delta S_{sent})_{O-group} < (\Delta S_{sent})_{T-group}$
- ► We compared the T- and O-groups using a Mann Whitney U-Test on the surprisal contrasts between (1a) and (1b).
- ▶ 2/3 LLMs captured the effect of transparency.

The Inference Towards the Antonym (ITA) [3, 7, 8, 10] (not A) \implies A', where A' is the antonym of A

> **ITA Pragmatic Mitigation Condition** [7] $(not A) \implies A', \text{ if } CPLX(not A) \gg CPLX(A')$

Negative Adjectives Complexity Hypothesis [6, 5] $\forall A^-$. $A^- = Not A^+$, therefore:

- $CPLX(A^{-}) = CPLX(NOT-A^{+}) \sim CPLX(not A^{+})$
- $\star \operatorname{CPLx}(\operatorname{not} A^{-}) = \operatorname{CPLx}(\operatorname{not} \operatorname{Not} A^{+}) \gg \operatorname{CPLx}(-A^{+})$
- ► Based on Eq. ♦ and ★, Krifka [7] concludes that **inferring** a negative adjective A^- from not A^+ is easier than inferring A^+ from not A^- .
- ► As argued by Ruytenbeek et al. [8], this can be assessed empirically by comparing the felicity of (1a) vs. (1b).
 - He is **not tall**_(A⁺). She <u>too</u> is **short**_(A⁻). (1)a.
 - b. # He is **not short**_(A^-). She <u>too</u> is **tall**_(A^+).

Task 2: Target-word surprisal (S_w)

H1: $\Delta S_A \triangleq (S_{A^+}(1b) - S_{A^+}(2b)) - (S_{A^-}(1a) - S_{A^-}(2a)) > 0$

- ► We compared the individual surprisals associated with the second adjective in (1a)/(1b).
- Because LLMs tend to assign morphologically complex words higher suprisals, we used (2a)/(2b) as baselines.
 - (2) a. He is **not** tall_(A^+). She is short_(A^-). b. # He is **not short**_(A^{-}). She is **tall**_(A^{+}).
- > 2/3 LLMs captured the contrast. For both models, individual groups (T/O) were also linked to a significant effect after corrections.

H2: $(\Delta S_A)_{O-group} < (\Delta S_A)_{T-group}$

p-values for H2 in this task were not significant, i.e., no effect of morphological transparency was detected...

Task 3: Comparison in word embeddings

► They also claim that the contrast between (1a) and (1b) should be smaller for morphologically opaque antonyms (O-antonyms), as opposed to morphologically transparent ones (T-antonyms).

Goal

- Building on the studies conducted by [8], we test if 3 recent LLMs (GPT-2 [13], XLNET [14] and BERT [9]):
 - 1. are more likely to "draw" an ITA when the adjective under negation is positive rather than negative (H1);
 - 2. show a higher discrepancy in ITA strength for T-antonyms as opposed to O-antonyms (H2).



- \blacktriangleright We focus on the representation that LLMs assign to A^+ , A^- , and their negations: $\overrightarrow{A^+}$, $\overrightarrow{A^-}$, $\overrightarrow{not A^+}$, $\overrightarrow{not A^+}$.
- Semantic closeness between those vectors is measured by cosine similarity (=angle between 2 vectors). H1: $\Delta Cos \triangleq Cos(\overrightarrow{A^{+}}, \overrightarrow{not A^{+}}) - Cos(\overrightarrow{A^{+}}, \overrightarrow{not A^{-}}) > 0$
- We test if $\overrightarrow{not A^+}$ is "closer" to $\overrightarrow{A^+}$ than $\overrightarrow{not A^+}$ is to $\overrightarrow{A^+}$.
- 3/3 embeddings captured the contrast, suggesting that ITA strength translates into topological distance. H2: $(\Delta Cos)_{O-group} < (\Delta Cos)_{T-group}$
- ► 3/3 embeddings captured the effect of transparency, although the effect sizes were small...

Discussion & outlook

- LLMs seem to distinguish positive and negative adjectives w.r.t their semantic closeness to their antonym (H1) and somewhat differentiate between T- and O-antonyms (H2).
- The relative weakness of this last result may be due to LLMs' tokenization strategy not aligning with human-like



Figure 1: 2D-PCA-reduced embeddings of the 3 models. Lines represent the effect of negation for any given adjective.

morphological segmentation. This should also be contrasted with two other results: As already noted for older models [11], **LLMs represent** *not* A⁺ as **closer to** *not A*⁻ **than to** *A*⁻, **and vice-versa** (cf. Fig. 1)! A refinement of BERT (RoBERTa-MNLI [12]) fine-tuned for Natural Language Inference was more likely to conclude (*He not* $A^- \Rightarrow$ He is A^+) than (He not $A^+ \Rightarrow$ He is A^-), contra H1(!)

p-values color-coded. Effect sizes are Cliff's Δ . N, S, M, L resp. mean 'negligible', 'small', 'medium', 'large'. Each cell also lists which subgroup(s) (T, O) drive(s) the effect in Task 1.

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